SUMMARY OF AMENDMENTS

COMMISSION FOR ARKANSAS PUBLIC SCHOOL ACADEMIC FACILITIES AND TRANSPORTATION RULES GOVERNING APPEALS FROM DETERMINATIONS OF THE ARKANSAS DIVISION OF PUBLIC SCHOOL ACADEMIC FACILITIES AND TRANSPORTATION

Amendments to these rules are necessary as a result of Act 542 of 2017. They also contain non-substantive edits that are mostly grammatical and stylistic.

These proposed amendments provide a method under which either a public charter school (as defined in Act 542) or traditional public school district may appeal a Division determination related to a public school district waiver petition to the Commission. Specifically, Act 542 provides that if a school district wishes to sell, lease, or otherwise transfer unneeded public school facilities (including but not limited to properties identified as unused or underutilized), there is a waiting period of two to three years. The school district may, however, petition the Division for a waiver of the waiting period. Amendments to the present rules provide a process for the appeal of the Division's determination by either the school district or charter school to the Commission.

Post-Public Comment

No substantive changes were made. Only changes made were editorial and for purpose of clarification.

CAPSAFT Rules Governing Appeals from Determinations of the Arkansas Division of Public School Academic Facilities and Transportation

PUBLIC COMMENTS AND RESPONSES OF THE DIVISION FOR ARKANSAS PUBLIC SCHOOL ACADEMIC FACILITIES AND TRANSPORTATION

<u>Commenter Name:</u> Lucas Harder, Policy Services Director, Arkansas School Boards Association (1/3/18)

Comment (1): Section 1.02. I would recommend replacing 6-21-801 through 6-21-816 with 6-21-801 *et seq.* and moving it after 6-20-2516 as that would automatically include any new statutes added to the subchapter and have the statutory list in numerical order.

<u>Division Response</u>: Comment considered. **Non-substantive change made.**

<u>Comment (2)</u>: Direct Appeals Hearing: I would recommend changing it to read 6-21-801 *et seq.* as the entire subchapter is listed.

Division Response: Comment considered. Non-substantive change made.

<u>Comment (3)</u>: Section 6.08.4. I would recommend change the new language to read "and in a manner identifying" in order to match the language in 4.05.

Division Response: Comment considered. Non-substantive change made.

Commenter Name: Harvie Nichols (1/15/18)

Comment (1): Section 3.02.2. The date for denying the appeal to be untimely should be based upon not being received within 60 calendar days of the date the appealing party receives a copy of the written determination of the division.

<u>Division Response</u>: Comment considered. Language changes in section 3.02.2, as well as sections 3.01.2 and 7.01. **Non-substantive changes made.**

Comment (2): Section 3.02.3. See the expanded comments on 6.04 which also apply here.

Division Response: Comment considered. Non-substantive change made.

Comment (3): Section 4.02. The last part of the first line should be changed to 6-21-815.

<u>Division Response</u>: Comment considered. Change made in sections 4.03 and 4.08 as well. **Non-substantive changes made.**

<u>Comment (4)</u>: Section 4.06. This section allows the Commission to take a matter under advisement.

Comment (5): Section 4.07. States that the Commission shall render a written decision within thirty calendar days of the hearing but does not specify how the Commission would render a decision if they have taken a case under advisement. I understand that a judge can issue a written decision after taking a case under advisement. However, in my opinion the Commission, because it is composed of multiple members, is subject to FOIA and can't discuss the case except in a convened meeting with proper notice provided. How can they render a decision if they have not met to discuss the issue? A section needs to be added to insure that they meet again with adequate notice to all parties.

<u>Division Response to (4) and (5)</u>: The CAPSAFT is undoubtedly a "governing body" under the Arkansas Freedom of Information Act and is subject to the open meeting provisions set forth in § 25-19-106 of the Act. Thus, if the CAPSAFT takes a matter under advisement, it must reconvene in an open meeting to further discuss the matter or issue its opinion. Appropriate notice to parties consistent with the FOIA must be provided. **No changes made.**

<u>Comment (6)</u>: Section 4.08. Not being an attorney, I have no idea what the legal term means. Would it be possible to put it in plain language that an ordinary person understands?

<u>Division Response</u>: Comment considered. "Tolled" is a legal term of art meaning stayed, or suspended. **No changes made.**

<u>Comment (7)</u>: Section 6.04. Based upon the language in 6.03 requiring that districts provide a brief written statement, I would suggest that the same language be used in 6.04 to characterize the division response. The page limit establishes the nature of the report but the language is just inconsistent.

Division Response: Comment considered. Non-substantive change made.

Comment (8): Same as in 4.07.

Division Response: See Division Response to (4) and (5) above. No changes made.

THE COMMISSION FOR ARKANSAS PUBLIC SCHOOL ACADEMIC FACILITIES AND TRANSPORTATION RULES GOVERNING APPEALS FROM DETERMINATIONS OF THE ARKANSAS DIVISION OF PUBLIC SCHOOL ACADEMIC FACILITIES AND TRANSPORTATION

June 2012	Effective

1.00 REGULATORY AUTHORITY

- 1.01 These Rules shall be known as the Commission for Arkansas Public School Academic Facilities and Transportation Rules Governing Appeals from Determinations of the Arkansas Division of Public School Academic Facilities and Transportation.
- 1.02 These Rules are promulgated pursuant to Ark. Code Ann. §§ 6-21-801 through 6-21-814 6-21-816, 6-20-2512, 6-20-2513, 6-20-2516, 6-21-801 et seq., and 25-15-201 et seq., and Act 1006 of 2011.

2.00 PURPOSES OF RULES, BURDEN OF PROOF AND DEFINITION OF TERMS

- 2.01 The first purpose of these Rules is to implement the requirements of Ark. Code Ann. §§ 6-21-801 through 6-21-814 6-21-815 to provide a method by which a school districts may appeal a decisions made by the Arkansas Division of Public School Academic Facilities and Transportation (Division) to the Commission for Public School Academic Facilities and Transportation (Commission). Sections 3.00 through 5.00 of these Rules specifically address appeals arising under Ark. Code Ann. §§ 6-21-801 through 6-21-814.
- 2.02 The second purpose of these Rules is to implement the requirements of Ark. Code Ann. § 6-21-816 to provide a method by which a school district or public charter school may appeal a decision made by the Division to the Commission related to a waiver request.
- 2.023 The second third purpose of these Rules is to implement the requirements of Ark. Code Ann. §§ 6-20-2512, 6-20-2513 and 6-20-2516 to provide a method by which a school districts may appeal a decisions made by the Division to the Academic Facilities Review Board (Review Board), as well as and from a decisions made by the Review Board to the Commission. Sections 6.00 through 9.00 of these rules specifically address appeals arising under Ark. Code Ann. §§ 6-20-2501 through 6-20-2516.
- 2.034 For the purposes of all appeals brought by a school district pursuant to these Rules, the school district appealing party shall have the burden of

- proving that the Division's written determination is not supported by substantial evidence or is outside the legal authority vested in the Division.
- 2.045 For the purposes of these Rules, the term "substantial evidence" means relevant evidence that a reasonable mind might accept to support a conclusion. Substantial evidence is not based upon speculation and conjecture. A review of substantial evidence is not based upon whether the facts would have supported a contrary finding by the Division, but whether the facts supported the finding made by the Division.
- 2.06 As used in these Rules, the term "public charter school" has the same meaning as in Ark. Code Ann. § 6-21-815.
- 2.07 As used in these Rules, the term "school district":
 - 2.07.1 Does not include a "public charter school" as defined in Ark. Code Ann. § 6-21-815.
 - 2.07.2 Includes a "conversion public charter school" as defined in Ark. Code Ann. § 6-23-103(5).

DIRECT APPEALS TO THE COMMISSION (ARK. CODE ANN. §§ 6-21-801 et seq.—6-21-814 6-21-816)

- 3.00 APPEAL PROCESS: DIRECT APPEALS TO THE COMMISSION
 - 3.01 Pursuant to Ark. Code Ann. § 6-21-814, a school district may appeal any final written determination of the Division made under the provisions of the Arkansas Public School Academic Facilities Program Act, Ark. Code Ann. § 6-21-801 *et seq.*, by following this process:
 - 3.01.1 A school district may request in writing, and the Division shall provide within twenty (20) calendar days, a written determination concerning a matter directly affecting the school district that is covered under Ark. Code Ann. §§ 6-21-801 through 6-21-814 6-21-815.
 - 3.01.1.1 A school district may not utilize the procedures outlined in this Section 3.00 to request a written determination that is in effect a request for reconsideration of an earlier written determination.
 - 3.01.2 If the school district wishes to appeal the written determination of the Division, the school district must shall, within sixty (60)

calendar days of receipt of the Division's written determination, submit to the Arkansas Department of Education (ADE) Office of General Counsel, Arkansas Department of Education, with a copy to the Division, a written request for an appeal or an appeal and hearing with the Commission. With its written request, the school district must shall submit a brief written statement of no longer than fifteen (15) pages explaining, in clear and express terms, the facts of the case and how the Division's determination is not supported by substantial evidence or is outside the legal authority vested in the Division. At the time of submitting its initial brief, the school district shall indicate whether it requests a formal hearing before the Commission. If the appeal or appeal and request for hearing are not received within sixty (60) calendar days from the date of the receipt of the Division's written determination, the Commission shall deny the appeal on the grounds that it is untimely.

- 3.01.3 Upon timely receipt of the school district's written request for an appeal or appeal and hearing, the Division may prepare a written statement in response. The Division's written response will be is limited to fifteen (15) pages. The Division will shall provide its written response to the the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the school district, within thirty (30) calendar days of receipt of the school district's written request for an appeal.
- 3.01.4 Once the written statements from the school district and the Division are received by the Commission, the Commission will shall consider the appeal at the call of the chair of the Commission. Except for good cause shown, the chair of the Commission will shall schedule the meeting for consideration of the appeal within thirty (30) calendar days of receipt of the Division's written response. Notice of the date, time, and location of the meeting will shall be sent to the appealing school district and to the Division. If requested by the appealing school district, or if the Commission determines that a hearing is necessary, a hearing concerning the appeal will shall be held during the meeting and in accordance with Section 4.00 of these Rules.
- 3.02 A school district or public charter school may appeal a final written determination of the Division made under Ark. Code Ann. § 6-21-816 related to a school district petition for a waiver by following this process:
 - 3.02.1 A school district or public charter school may not utilize the procedures outlined in this Section 3.02 to request a written

- determination that is in effect a request for reconsideration of an earlier written determination.
- 3.02.2 If the school district or public charter school wishes to appeal the written determination of the Division, it shall, within sixty (60) calendar days of receipt of the Division's written determination, submit to the ADE Office of General Counsel, with a copy to the Division and to the non-appealing party, a written request for an appeal or an appeal and hearing with the Commission. With its written request, the appealing party shall submit a brief written statement of no longer than fifteen (15) pages explaining, in clear and express terms, the facts of the case and how the Division's determination is not supported by substantial evidence or is outside the legal authority vested in the Division. At the time of submitting its initial brief, the appealing party shall indicate whether it requests a formal hearing before the Commission. If the appeal or appeal and request for hearing is not received by the Division within sixty (60) calendar days from the date of the receipt of the Division's written determination, the Commission shall deny the appeal on the grounds that it is untimely.
- 3.02.3 Upon timely receipt of the appealing party's written request for an appeal or appeal and hearing, the Division and non-appealing party each may prepare a brief written statement in response, each of which is limited to fifteen (15) pages. The Division and non-appealing party shall provide any written response to the ADE Office of General Counsel, with a copy to the appealing party, within thirty (30) calendar days of receipt of the appealing party's written request for an appeal.
- 3.02.4 Once written responses are received by the Commission or the time to provide a written response passes, whichever is earlier, the Commission shall consider the appeal at the call of the chair of the Commission. Except for good cause shown, the chair of the Commission shall schedule the meeting for consideration of the appeal within thirty (30) calendar days of receipt of the written response(s). Notice of the date, time, and location of the meeting shall be sent to the appealing party, the non-appealing party (regardless of whether it filed a written response), and the Division. If requested by the appealing party or if the Commission determines that a hearing is necessary, a hearing concerning the appeal shall be held during the meeting and in accordance with Section 4.00 of these Rules.

4.00 APPEAL HEARING PROCEDURES: DIRECT APPEALS TO THE COMMISSION

- 4.01 For each hearings under Ark. Code Ann. §§ 6-21-801 through 6-21-815, the appealing school district and the Division shall each shall have up to ten (10) minutes to present an opening statement, beginning with the appealing school district. The chair of the Commission may, only for good cause shown and upon request of either party, allow either party additional time to present an opening statement. For hearings under Ark. Code Ann. § 6-21-816, the same procedure applies except that the non-appealing party (whether the school district or public charter school) shall have like time to present an opening statement following the appealing party and Division.
- 4.02 For hearings under Ark. Code Ann. §§ 6-21-801 through 6-21-815, The the appealing school district and the Division shall each shall have up to fifteen (15) minutes to present their cases-in-chief to the Commission, beginning with the appealing school district. The chair of the Commission may, only for good cause shown and upon request of either party, allow either party additional time to present their cases-in-chief. For hearings under Ark. Code Ann. § 6-21-816, the same procedure applies except that the non-appealing party (whether the school district or public charter school) shall have like time to present its case-in-chief following the appealing party and Division.
- 4.03 For hearings under Ark. Code Ann. § 6-21-801 through 6-21-815, After after both parties have presented their cases-in-chief, the appealing school district and the Division shall each shall have up to five (5) minutes to present a closing statement, beginning with the appealing school district. The chair of the Commission may, only for good cause shown and upon request of either party, allow either party additional time to present a closing statement. For hearings under Ark. Code Ann. § 6-21-816, the same procedure applies except that the non-appealing party (whether the school district or public charter school) shall have like time to present a closing statement following the appealing party and Division.
- 4.04 Members of the Commission may ask questions of either <u>any</u> party at any time throughout the proceedings.
- 4.05 For the purposes of the record, documents offered during the hearing by the appealing school district shall be elearly marked in sequential, numeric order, and in a manner identifying the party offering the document (1, 2, 3).

- 4.06 For the purposes of the record, documents offered during the hearing by the Division shall be clearly marked in sequential, alphabetic letters (A, B, C).
- 4.076 After hearing all testimony and evidence presented, the Commission shall deliberate and may announce its decision at the close of the hearing or may take the matter under advisement.
- 4.087 The Commission shall render a written decision to approve, deny or place in abeyance each appeal within thirty (30) calendar days of the hearing of the appeal by the Commission.
- 4.08 If the appeal is brought under Ark. Code Ann. § 6-21-815 or 6-21-816, all time frames under those sections shall be tolled during the pendency of the appeal.

5.00 FINALITY OF COMMISSION DECISIONS

All decisions of the Commission resulting from a school district's the appeal of a Division determination shall be final and shall not be subject to further appeal or request for rehearing to the Commission or petition for judicial review under the Arkansas Administrative Procedure Act, Ark. Code Ann. § 25-15-201 et. seq.

APPEALS TO THE ACADEMIC FACILITIES REVIEW BOARD (ARK. CODE ANN. §§ 6-20-2501 – 6-20-2516)

6.00 REVIEW BOARD APPEAL PROCEDURES

- Pursuant to Ark. Code Ann. § 6-20-2513, a school district may appeal any written determination of the Division made under the provisions of the Arkansas Public School Academic Facilities Funding Act. (Ark. Code Ann. § 6-20-2501 et seq.), to the Academic Facilities Review Board.
 - 6.01.1 For the purpose of this Section 6.00, the phrase "Written Determination" includes the Division's notice of approval of construction projects eligible for state financial participation under Ark. Code Ann. § 6-20-2507.
- 6.02 A school district may request in writing, and the Division shall provide within twenty (20) calendar days, a written determination concerning a matter directly affecting the school district that is covered under Ark. Code Ann. §§ 6-20-2501 through 6-20-2516.

- 6.02.1 A school district may not utilize the procedures outlined in this Section 6.02 to request a written determination that is in effect a request for reconsideration of an earlier written determination.
- 6.03 If the school district wishes to appeal the written determination of the Division, the school district must shall, within sixty (60) calendar days of receipt of the Division's written determination, submit to the the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the Division, a written request for an appeal or an appeal and hearing with the Review Board. With its written request, the school district must shall submit a brief written statement of no longer than ten (10) pages explaining, in clear and express terms, the facts of the case and how the Division's determination is not supported by substantial evidence or is outside the legal authority vested in the Division. At the time of submitting its initial brief, the school district shall indicate whether it requests a formal hearing before the Review Board. If the appeal or appeal and request for hearing are not received within sixty (60) calendar days of the Division's written determination, the Review Board shall deny the appeal on the grounds that it is untimely.
- 6.04 Upon timely receipt of the school district's written request for an appeal or an appeal and hearing, the Division may prepare a brief written statement in response. The Division's written response will be is limited to ten (10) pages. The Division will shall provide its written response to the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the school district, within thirty (30) calendar days of receipt of the school district's written request for an appeal.
- 6.05 If the school district does not request a hearing, the Review Board shall meet upon the call of the chair to consider the appeal. Except for good cause shown, the chair of the Review Board shall schedule the meeting within thirty (30) calendar days of receipt of the Division's written response. Notice of the date, time and location of the meeting will shall be sent to the appealing school district and to the Division.
- 6.06 If the school district requests a hearing or if the Review Board determines that a hearing is necessary, the Review Board shall meet upon the call of the chair. Except for good cause shown, the chair of the Review Board shall schedule the hearing within thirty (30) calendar days of receipt of the Division's written response. Notice of the date, time, and location of the hearing will shall be sent to the appealing school district and to the Division. Hearings shall be conducted pursuant to Section 6.08 of these Rules.

- 6.07 A majority of the members of the Review Board shall constitute a quorum, and all actions taken by the Review Board shall be by a majority of the quorum present.
- 6.08 For each hearing, the appealing school district and the Division will each shall have five (5) minutes to present an opening statement, beginning with the appealing school district. The chair of the Board may, only for good cause shown and upon request of either party, allow either party additional time to present an opening statement.
 - 6.08.1 The appealing school district and the Division will each shall have fifteen (15) minutes to present their cases-in-chief to the Review Board, beginning with the appealing district, which bears the burden of proof. The chair of the Board may, only for good cause shown and upon request of either party, allow either party additional time to present their cases-in-chief.
 - 6.08.2 The appealing school district and the Division will each shall have five (5) minutes to present a closing statement, beginning with the appealing school district. The chair of the Board may, only for good cause shown and upon request of either party, allow either party additional time to present a closing statement.
 - 6.08.3 Members of the Review Board may, at any time during the proceedings, ask questions to representatives of either party.
 - 6.08.4 For the purposes of the record, documents offered during the hearing by the appealing school district shall be elearly marked in sequential, numeric order (1, 2, 3). and in a manner identifying the party offering the document.
 - 6.08.5 For the purposes of the record, documents offered during the hearing by the Division shall be clearly marked in sequential, alphabetic letters (A, B, C).
 - 6.08.65Following a hearing, the Review Board shall make a final determination accepting, rejecting, or modifying the determination of the Division. The Review Board may deliberate and announce its determination at the close of the hearing, or the Review Board may take the matter under advisement. The Review Board shall provide to the school district and the Division its written final determination within ten (10) business days of the hearing.
- 7.00 APPEAL PROCESS: COMMISSION REVIEW OF DECISIONS OF THE REVIEW BOARD

- 7.01 If the school district wishes to appeal the final determination of the Review Board, the school district must shall within thirty (30) calendar days of receipt of the Review Board's final determination, submit to the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the Division, a written request for an appeal or an appeal and hearing from the Review Board's final determination to the Commission. With its written request, the school district must shall submit a brief written statement of no longer than fifteen (15) pages explaining, in clear and express terms, the facts of the case and how the Division's determination is not supported by substantial evidence or is outside the legal authority vested in the Division. At the time of submitting its initial brief, the school district shall indicate whether it requests a formal hearing before the Commission. If the appeal or appeal and request for hearing are not received within thirty (30) calendar days from the date of the receipt of the Review Board's written final determination, the Commission shall deny the appeal on the grounds that it is untimely.
- 7.02 Upon timely receipt of the school district's written request for an appeal or appeal and hearing, the Division may prepare a written statement in response. The Division's written response will be is limited to fifteen (15) pages. The Division will shall provide its written response to the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the school district, within thirty (30) calendar days of receipt of the school district's written request for an appeal.
- 7.03 Once the written statements from the school district and the Division are received by the Commission, the Commission will shall consider the appeal at the call of the chair of the Commission. Except for good cause shown, the chair of the Commission will shall schedule the meeting for consideration of the appeal within thirty (30) calendar days of receipt of the Division's written response. Notice of the date, time, and location of the meeting will shall be sent to the appealing school district and to the Division. If requested by the appealing school district or if the Commission determines that a hearing is necessary, a hearing concerning the appeal will shall be held during the meeting and in accordance with Section 8.00 of these Rules.
- 7.04 If the Review Board's final determination will result in a greater level of state financial participation in a project than previously authorized by the Division, the Board's final determination shall be reviewed by the Commission at the call of the chair of the Commission.
 - 7.04.1 When the chair of the Commission determines that a review is mandated by Section 7.04 of these Rules, the chair shall, within thirty (30) calendar days of the date of the Review Board's

- decision, give written notice to the appealing school district and the Division that the final determination will shall be reviewed by the Commission.
- 7.04.2 Within thirty (30) calendar days of receipt of notice from the chair of the Commission, the appealing school district shall submit to the the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the Division, a brief written statement of no longer than fifteen (15) pages explaining, in clear and express terms, the facts of the case and how the Division's determination is not supported by substantial evidence or is outside the legal authority vested in the Division. At the time of submitting its initial brief, the school district shall indicate whether it requests a formal hearing before the Commission.
- 7.04.3 Upon timely receipt of the school district's brief written statement, the Division may prepare a written statement in response. The Division's written response will shall be limited to fifteen (15) pages. The Division will shall provide its written response to the ADE Office of General Counsel, Arkansas Department of Education, with a copy to the school district, within thirty (30) calendar days of receipt of the school district's brief written statement.
- 7.04.4 Once the written statements from the school district and the Division are received by the Commission, the Commission will shall consider the review at the call of the chair of the Commission. Except for good cause shown, the chair of the Commission will shall schedule the meeting for consideration of the review within thirty (30) calendar days of receipt of the Division's written response. Notice of the date, time, and location of the meeting will shall be sent to the appealing school district and to the Division. If requested by the appealing school district or if the Commission determines that a hearing is necessary, a hearing concerning the review will shall be held during the meeting and in accordance with Section 8.00 of these Rules

8.00 COMMISSION HEARING PROCEDURES: APPEALS FROM THE REVIEW BOARD

8.01 For each hearing, the appealing school district and the Division shall each shall have up to ten (10) minutes to present an opening statement, beginning with the appealing school district. The chair of the Commission may grant additional time to either or both parties, if necessary.

- 8.02 The appealing school district and the Division shall each shall have up to fifteen (15) minutes to present their cases-in-chief to the Commission, beginning with the appealing school district. The chair of the Commission may grant additional time to either or both parties, if necessary.
- 8.03 After both parties have presented their cases-in-chief, the appealing school district and the Division shall each shall have up to five (5) minutes to present a closing statement, beginning with the appealing school district. The chair of the Commission may grant additional time to either or both parties, if necessary.
- 8.04 Members of the Commission may ask questions of either party at any time throughout the proceedings.
- 8.05 After hearing all testimony and evidence presented, the Commission shall deliberate and may announce its decision at the close of the hearing or may take the matter under advisement.
- 8.06 The Commission shall provide to the school district and the division its final written determination within ten (10) business days of the hearing.

9.00 FINALITY OF COMMISSION DECISIONS

All decisions of the Commission resulting from a school district's appeal of a determination of the Review Board, or resulting from the Commission's review of a determination of the Review Board, under these rules shall be final and shall not be subject to further appeal or request for rehearing to the Commission, or petition for judicial review under the Arkansas Administrative Procedure Act. (Ark. Code Ann. § 25-15-201 et. seq.).